

**UNITED STATES DISTRICT COURT  
SOUTHERN DISTRICT OF NEW YORK**

HARRINGTON GLOBAL OPPORTUNITY FUND,  
LIMITED,

Plaintiff,

- against -

CIBC WORLD MARKETS CORP., CIBC WORLD  
MARKETS INC., BOFA SECURITIES, INC.,  
MERRILL LYNCH CANADA INC., MERRILL  
LYNCH PROFESSIONAL CLEARING CORP., TD  
SECURITIES, INC., TD SECURITIES (USA) LLC,  
UBS FINANCIAL SERVICES, INC., UBS  
SECURITIES CANADA, INC., SOCIETE  
GENERALE CAPITALE CANADA, INC., SG  
AMERICAS SECURITIES, LLC, and JOHN DOES  
1 THROUGH 10.

Defendants.

No. 1:21-cv-00761-LGS-JLC

**DECLARATION OF LISA PETRELLI IN SUPPORT OF THE MOTION OF  
UBS SECURITIES CANADA, INC. TO DISMISS AMENDED COMPLAINT FOR LACK  
OF PERSONAL JURISDICTION**

I, Lisa Petrelli, hereby declare under penalty of perjury the truth of the following statements based upon personal knowledge and knowledge gained from the review relevant corporate records and from discussions with others with such knowledge.

1. I am an adult and competent to testify to the matters below. I submit this declaration in support of the motion of UBS Securities Canada, Inc. (“UBS Securities Canada”) to dismiss for lack of personal jurisdiction.
2. I am a Managing Director and Head of Global Markets for UBS Securities Canada. I am authorized to make this declaration on behalf of UBS Securities Canada.

3. UBS Securities Canada is a Canadian Investment Bank with its headquarters and principal place of business located at 161 Bay Street Canada Trust, Suite 4100, Toronto, Ontario M5J 2S1, Canada.
4. UBS Securities Canada has no locations or employees in the United States.

Pursuant to 28 U.S.C. § 1748, I declare under penalty of perjury under the laws of the United States of America that the foregoing is true and correct.

Executed this 7th day of June, 2021 in Toronto, Canada.



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Lisa Petrelli